

1-147 Rec'd PCTO 12 JAN 2008

FORM PTO-1350 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 7-2005)

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

PLU-0016

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

NYA **10/564613**

INTERNATIONAL APPLICATION NO.

PCT/KR2004/00899

INTERNATIONAL FILING DATE

APRIL 20, 2004

PRIORITY DATE CLAIMED

MARCH 18, 2004

TITLE OF INVENTION

UNIVERSAL POWER SUPPLY APPARATUS

APPLICANT(S) FOR DO/EO/US

Jun KIM, Dae-Young YOUN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
  3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
  4. ☐ The US has been elected (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☒ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
  11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
  12. ☒ A copy of the International Search Report (PCT/ISA/210).
- Items 13 to 23 below concern document(s) or information included:
13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  15. ☒ A **FIRST** preliminary amendment.
  16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  17. ☐ A substitute specification.
  18. ☒ A power of attorney and/or change of address letter.
  19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
  20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
  21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
  22. ☒ Express Mail Label No. EV667962007US

22143

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

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PCT/KR2004/000899

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23. Other items or information:

Claim for Priority

Written Opinion of the International Searching Authority

		CALCULATIONS		PTO USE
The following fees have been submitted:				
24. <input checked="" type="checkbox"/> Basic national fee	\$300	\$	\$300.00	
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))	\$0	\$	\$200.00	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) . . .		\$200		
All other situations . . . . .				
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))	\$0	\$	\$500.00	
If the written opinion of the ISA/US or the international preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) . . .		\$100		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority . . . . .		\$400		
International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB . . . . .		\$500		
All other situations . . . . .			\$1,000.00	

## TOTAL OF 24, 25 and 26 =

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequences listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(i)).  
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
71	- 100 =	0	/50 =	0	x \$250.00
					\$

Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	28	- 20 =	8	x	\$50.00
Independent claims	1	- 3 =	0	x	\$200.00
					\$360.00

MULTIPLE DEPENDENT CLAIMS (if applicable) ☐

## TOTAL OF ABOVE CALCULATIONS =

☒ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.

## SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).

## TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

## TOTAL FEES ENCLOSED =

Amount to be \$  
Amount to be \$

10/564613

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCEUnder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
JAP20 Rec'd 12 JAN 2006

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 06-1130 in the amount of \$ \$700.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1130. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Cantor Colburn LLP  
55 Griffin Road South  
Bloomfield, CT 06002  
Telephone No. 860-286-2929  
Facsimile No. 860-286-0115

SIGNATURE

Jae Y. PARK

NAME

Ltd. Recog. No. L0077

REGISTRATION NUMBER

January 12, 2006

DATE

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): **Hyun-Jun KIM, et al.**

Docket No.

**PLU-0016**

Application No.  
**10/564613**  
NYA

Filing Date  
**January 12, 2006**

Examiner  
**NYA**

Customer No.  
**23413**

Group Art Unit  
**NYA**

Invention: **UNIVERSAL POWER SUPPLY APPARATUS**

I hereby certify that the following correspondence:

**Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concern A Submission Under 35 U.S.C. 371, English Trans of Int'l Appl, Prelim Amend, Comb Decl/POA, Assign w/Record Cover Sheet, Claim for Priority, Korean Patent Application No. 10-2004-18602, IDS, Form PTO-1449 w/Eight (8) cited refs, Auth to debit and/or cr Dep Acct. No. 06-1130-Filing Fee-\$ 700.00 (small entity) - Recordation Fee \$40.00, Postcard Receipt**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**January 12, 2006**

*(Date)*

**Paula Currie**

*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*

**EV667962007US**

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